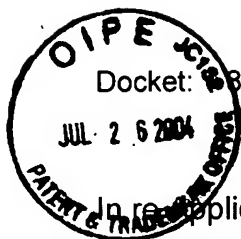


IFW



Docket: 80048(US02)

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Cook et al.

Serial No.: 10/713,937

Group Art Unit: 1761

Filed: November 14, 2003

Examiner:

For: SUCROSE ACETATE ISOBUTYRATE FORMULATION

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

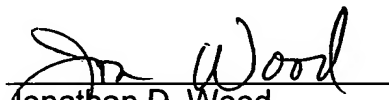
TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR 1.97(b)

In accordance with 37 CFR 1.56, the documents listed on the attached Information Disclosure Statement by Applicants are being brought to the attention of the Examiner for consideration in connection with the examination of the above-identified patent application. A copy of the cited documents are enclosed.

The Information Disclosure Statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office Action on the merits, whichever event occurs last.

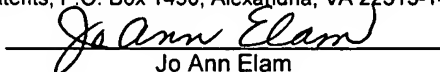
Respectfully submitted,

Eastman Chemical Company  
P.O. Box 511  
Kingsport, Tennessee 37662  
Phone: (423) 229-8862  
FAX: (423) 229-1239

  
Jonathan D. Wood  
Registration No. 39,076  
7-21-04  
Date

CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that this paper (along with any paper(s) referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
Jo Ann Elam

7-21-04  
Date

Please type a plus (+) sign in this box

Substitute for form 1449

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

Attorney Docket Number 80048 (US02)

First Named Inventor Cook et al.

COMPLETE IF KNOWN

Application Number 10/713,937

Filing Date November 14, 2003

Group Art Unit 1761

Sheet

1

of

2

Examiner Name

## **U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code <sup>2</sup> (if known)		
		3,096,324		Goins et al	07-02-63
		4,279,940		Wurzburg et al	07-21-81
		4,551,342		Nakel et al	11-05-85
		4,705,691		Kupper et al	11-10-87
		4,737,375		Nakel et al	04-12-88
		4,898,935		Nakamura et al	02-06-90
		4,996,070		Nafisi-Movaghar	02-26-91
		5,021,251		McKenna et al	06-04-91
		5,700,397		Maeda et al	12-23-97
		6,558,723		Ekanayake et al	05-06-03

## **FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
		JP	2003003191A		T. Hasegawa Co., Ltd.	01-08-03	
		JP	9187249A		T. Hasegawa Co., Ltd.	07-22-97	
		JP	52[1977]-24535		Sanei Kagaku Kogyo K.K.	07-01-77	
		JP	59[1984]- 210025		Taiyo Kagaku Kogyo K.K.	11-28-84	
		JP	50[1975]- 104230		Sanei Kagaku Kogyo K.K.	08-18-75	

Examiner  
Signature

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Please type a plus (+) sign in this box →



Substitute for form 1449  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				Attorney Docket Number		80048 (US02)			
				First Named Inventor		Cook et al.			
				COMPLETE IF KNOWN					
				Application Number		10/713,937			
				Filing Date		November 14, 2003			
		Group Art Unit		1761					
Sheet	2	of	2	Examiner Name					

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author(in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.